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# EQUIVALENCE OF THE INDUCTION SCHEMA AND THE LEAST NUMBER PRINCIPLE FOR OPEN FORMULAS

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**Abstract.** Let  $\mathcal{L}_A$  be the usual language for arithmetic. Let  $\varphi(x)$  be an  $\mathcal{L}_A$ -formula.  $\varphi(x)$  may contain free variables distinct from  $x$  as parameters. We consider the following two schemata.

$$\begin{array}{ll} (I_{\varphi(x)}) & \varphi(0) \wedge \forall x(\varphi(x) \rightarrow \varphi(x+1)) \rightarrow \forall x\varphi(x), \\ (L_{\varphi(x)}) & \exists x\varphi(x) \rightarrow \exists x(\forall y < x \neg\varphi(y) \wedge \varphi(x)). \end{array}$$

They are called the induction schema and the least number principle, respectively.  $I\text{Open}$ ,  $L\text{Open}$  will denote the theory  $PA^- \cup \{I_{\varphi(x)} \mid \varphi(x) : \text{open}\}$ ,  $PA^- \cup \{L_{\varphi(x)} \mid \varphi(x) : \text{open}\}$ , respectively.

In this paper we prove the equivalence of  $I\text{Open}$  and  $L\text{Open}$ . Van den Dries [v.d.D] noted that this can be proven model theoretically by using ideas in the proof of Shepherdson's theorem in [S1]. Our proof is syntactical and not model theoretical.

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### §1. Introduction

The first order language  $\mathcal{L}_A = \{0, 1, <, +, \cdot\}$ , and the axioms of  $PA^-$  are the following:

- 1)  $\forall x, y, z((x + y) + z = x + (y + z)),$
- 2)  $\forall x, y(x + y = y + x),$
- 3)  $\forall x, y, z((x \cdot y) \cdot z = x \cdot (y \cdot z)),$
- 4)  $\forall x, y(x \cdot y = y \cdot x),$
- 5)  $\forall x, y, z(x \cdot (y + z) = x \cdot y + x \cdot z),$
- 6)  $\forall x((x + 0 = x) \wedge (x \cdot 0 = 0)),$
- 7)  $\forall x(x \cdot 1 = x),$
- 8)  $\forall x, y, z((x < y \wedge y < z) \rightarrow x < z),$
- 9)  $\forall x \neg(x < x),$
- 10)  $\forall x, y(x < y \vee x = y \vee y < x),$
- 11)  $\forall x, y, z(x < y \rightarrow x + z < y + z),$
- 12)  $\forall x, y, z((0 < z \wedge x < y) \rightarrow x \cdot z < y \cdot z),$
- 13)  $\forall x, y(x < y \rightarrow \exists z(x + z = y)),$
- 14)  $0 < 1 \wedge \forall x(0 < x \rightarrow 1 \leq x),$
- 15)  $\forall x(0 \leq x).$

We employ usual abbreviations such as  $x \leq y < z$ ,  $x^n$ ,  $\forall x < y \varphi$  and so on. Note that 1)–13) imply converses to 11), 12) and that  $z$  in 13) is unique. We often represent the  $z$  by  $y - x$ .

Note that if we take Robinson Arithmetic  $Q$  as a ‘base’ theory, then  $IOpen$  and  $LOpen$  are equivalent to  $Q \cup \{I_{\varphi(x)} \mid \varphi(x) : open\}$  and  $Q \cup \{\forall x(x < x + 1)\} \cup \{L_{\varphi(x)} \mid \varphi(x) : open\}$ , respectively (See [H-P], [K]).

It is easy to see that  $PA^- \vdash L_{\neg\varphi(x)} \rightarrow I_{\varphi(x)}$  for any formula  $\varphi(x)$ , hence  $LOpen$  proves all axioms of  $IOpen$ . So we concentrate to see that  $IOpen$  proves the least number principle for open formulas.

Now we consider stronger systems than  $IOpen$ . Let  $I\Sigma_n = PA^- \cup \{I_{\varphi(x)} \mid \varphi(x) : \Sigma_n\}$ , which is equivalent to the theory  $Q \cup \{I_{\varphi(x)} \mid \varphi(x) : \Sigma_n\}$ . It is easy to see that  $I\Sigma_n$  proves the least number principle for  $\Sigma_n$ -formulas. Because if we assume  $\exists x\varphi(x) \wedge \forall x(\varphi(x) \rightarrow \exists y < x \varphi(y))$  and apply induction to the formula  $\forall y < x \neg\varphi(y)$ , then we obtain a contradiction. But we can not apply this argument for  $IOpen$ .

Shepherdson proved in [S1] that if  $M \models PA^-$  and  $R(M)$  is the real closure of the ordered ring corresponding to  $M$ , then  $M \models IOpen$  iff  $\forall r \in R(M)$  with  $r > 0 \exists s \in M$  such that  $0 \leq r - s < 1$ . One can easily prove that if the latter condition holds then  $M$  satisfies the least number principle for open formulas. Therefore we have that if  $M \models IOpen$  then  $M \models LOpen$ , hence  $IOpen$  proves all the axioms of  $LOpen$ .

Our main result is Main Lemma 3.7 which states, roughly speaking, that “any open formula is equivalent to the union of finite intervals” is provable in *IOpen*. By using the Main Lemma, we will prove proof theoretically that *IOpen* proves the least number principle for open formulas. We begin investigation into atomic  $\mathcal{L}_A$ -formulas.

## §2. Some properties of atomic formulas in $PA^-$

An atomic formula  $\varphi$  is of the form  $s = t$  or of the form  $s < t$ , where  $s$  and  $t$  are terms. We will represent  $\varphi(x)$  as an  $a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0 = b_n x^n + b_{n-1} x^{n-1} + \cdots + b_0$  or  $a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0 < b_n x^n + b_{n-1} x^{n-1} + \cdots + b_0$  where terms  $a_i$  and  $b_i$  do not contain the free variable  $x$ , and either  $a_n \neq 0$  or  $b_n \neq 0$ . We say that  $\varphi(x)$  is of degree  $n$  (in symbols:  $\deg_x \varphi = n$ ) if  $\varphi(x)$  is represented as above. We often denote  $\deg_x \varphi$  simply by  $\deg \varphi$ .

First we show in  $PA^-$  that if  $\varphi(x)$  is an equality of degree  $n$ , then  $\varphi(x)$  has at most  $n$  ‘solutions’.

**Proposition 2.1.** *Let  $\varphi(x)$  be an equality with  $\deg \varphi = n$  as above. Assume that  $0 < n$ . Then*

$$PA^- \vdash \bigvee_{i=0}^n (a_i \neq b_i) \rightarrow \neg \exists x_0, \dots, x_n (x_0 < x_1 < \cdots < x_n \wedge \bigwedge_{i=0}^n \varphi(x_i)).$$

*Proof.* We construct proofs of the formula  $\varphi(x)$  by induction on  $n (= \deg \varphi)$ . For  $n = 1$ , we work in  $PA^-$ . Assume that there were  $x_0, x_1$  such that  $x_0 < x_1$ ,  $\varphi(x_0)$  and  $\varphi(x_1)$ , that is,

$$\begin{aligned} a_1 x_0 + a_0 &= b_1 x_0 + b_0, \\ a_1 x_1 + a_0 &= b_1 x_1 + b_0. \end{aligned}$$

There exists the unique  $d$  such that  $0 < d$  and  $x_1 = x_0 + d$ . Substituting  $x_0 + d$  for  $x_1$  in the second equation and using the first equation, we get  $a_1 d = b_1 d$ . Hence  $a_1 = b_1$  since  $0 < d$ . It follows that  $a_0 = b_0$ , which is a contradiction. Therefore, this proposition holds in case  $n = 1$ .

Next, assume that the assertion holds for any equation with degree  $n - 1$  and consider  $\varphi(x)$  with  $\deg \varphi = n$ . We work in  $PA^-$ . Suppose that there were  $x_0, x_1, \dots, x_n$  such that  $x_0 < x_1 < \cdots < x_n$  and  $\varphi(x_i)$  for each  $i$ , that is

$$(*) \left\{ \begin{array}{lcl} a_n x_0^n + a_{n-1} x_0^{n-1} + \cdots + a_0 & = & b_n x_0^n + b_{n-1} x_0^{n-1} + \cdots + b_0, \\ a_n x_1^n + a_{n-1} x_1^{n-1} + \cdots + a_0 & = & b_n x_1^n + b_{n-1} x_1^{n-1} + \cdots + b_0, \\ & \vdots & \\ a_n x_n^n + a_{n-1} x_n^{n-1} + \cdots + a_0 & = & b_n x_n^n + b_{n-1} x_n^{n-1} + \cdots + b_0. \end{array} \right.$$

There exists the unique  $d_i$  such that  $x_{i+1} = x_0 + d_i$  for each  $0 \leq i \leq n-1$ , and  $0 < d_0 < \dots < d_{n-1}$ . Let  $\psi(y)$  be

$$\begin{aligned} & a_n \sum_{k=1}^n \binom{n}{k} x_0^{n-k} y^{k-1} + a_{n-1} \sum_{k=1}^{n-1} \binom{n-1}{k} x_0^{n-k-1} y^{k-1} + \dots + a_1 \\ & = b_n \sum_{k=1}^n \binom{n}{k} x_0^{n-k} y^{k-1} + b_{n-1} \sum_{k=1}^{n-1} \binom{n-1}{k} x_0^{n-k-1} y^{k-1} + \dots + b_1. \end{aligned}$$

Then  $\deg_y \psi = n-1$  and we have  $\bigwedge_{i=0}^{n-1} \psi(d_i)$  by (\*). Let  $\psi(y)$  represent  $a'_{n-1}y^{n-1} + \dots + a'_0 = b'_{n-1}y^{n-1} + \dots + b'_0$  where  $a'_i, b'_i$  do not contain  $y$ . Then

$$\begin{aligned} a'_{n-1} &= a_n \binom{n}{n}, \\ a'_{n-2} &= a_n \binom{n}{n-1} x_0 + a_{n-1} \binom{n-1}{n-1}, \\ a'_{n-3} &= a_n \binom{n}{n-2} x_0^2 + a_{n-1} \binom{n-1}{n-2} x_0 + a_{n-2} \binom{n-2}{n-2}, \\ &\vdots \\ a'_0 &= a_n \binom{n}{1} x_0^{n-1} + a_{n-1} \binom{n-1}{1} x_0^{n-2} + \dots + a_1 \binom{1}{1}. \end{aligned}$$

Similarly for  $b'_i$ . Thus, by the induction hypothesis it follows that  $\bigwedge_{i=0}^{n-1} a'_i = b'_i$ .

Then  $\bigwedge_{i=1}^n a_i = b_i$ , hence  $\bigwedge_{i=0}^n a_i = b_i$ . Thus proposition holds for  $n$ .  $\square$

For any formula  $\varphi(x)$  we define inductively  $\mathcal{J}_n(\varphi(x))$ , which means that  $\varphi(x)$  has at most  $n$  'solutions'.

**Definition 2.2.** For any formula  $\varphi(x)$ , we define  $\mathcal{J}_n(\varphi(x))$  as follows inductively:

$$\begin{aligned} \mathcal{J}_0(\varphi(x)) &\equiv \forall x \neg \varphi(x), \\ \mathcal{J}_{n+1}(\varphi(x)) &\equiv \mathcal{J}_n(\varphi(x)) \vee \exists x_0, x_1, \dots, x_n (x_0 < x_1 < \dots < x_n \wedge \forall y (\varphi(y) \leftrightarrow \bigvee_{i=0}^n y = x_i)). \end{aligned}$$

**Lemma 2.3.** Let  $\varphi(x)$  be any formula. Then

$$PA^- \vdash \neg \mathcal{J}_n(\varphi(x)) \rightarrow \exists x_0, x_1, \dots, x_n (x_0 < x_1 < \dots < x_n \wedge \bigwedge_{i=0}^n \varphi(x_i)).$$

*Proof.* Induction on  $n$ . We work in  $PA^-$ . The assertion is trivial for  $n = 0$ . For induction step, assume that the assertion holds for  $n$ . Suppose

that  $\neg \mathcal{J}_{n+1}(\varphi(x))$ , that is  $\neg \mathcal{J}_n(\varphi(x))$  and

$$\forall x_0, x_1, \dots, x_n (x_0 < x_1 < \dots < x_n \rightarrow \exists y ((\varphi(y) \wedge \bigwedge_{i=0}^n y \neq x_i) \vee (\neg \varphi(y) \wedge \bigvee_{i=0}^n y = x_i))).$$

By  $\neg \mathcal{J}_n(\varphi(x))$  and the induction hypothesis, we have  $a_0, a_1, \dots, a_n$  such that  $a_0 < a_1 < \dots < a_n$  and  $\bigwedge_{i=0}^n \varphi(a_i)$ . Then there exists a  $b$  such that

$$(\varphi(b) \wedge \bigwedge_{i=0}^n b \neq a_i) \vee (\neg \varphi(b) \wedge \bigvee_{i=0}^n b = a_i).$$

Since  $\bigwedge_{i=0}^n \varphi(a_i)$ ,  $\varphi(b) \wedge \bigwedge_{i=0}^n b \neq a_i$  holds. It is easy to see that

$$\begin{aligned} a_0 < a_1 < \dots < a_n \wedge \bigwedge_{i=0}^n b \neq a_i &\rightarrow (b < a_0 < a_1 < \dots < a_n \vee \\ &a_0 < b < a_1 < \dots < a_n \vee \\ &\dots \\ &a_0 < a_1 < \dots < b < a_n \vee \\ &a_0 < a_1 < \dots < a_n < b), \end{aligned}$$

then we have  $\exists x_0, x_1, \dots, x_{n+1} (x_0 < x_1 < \dots < x_{n+1} \wedge \bigwedge_{i=0}^{n+1} \varphi(x_i))$ .  $\square$

**Corollary 2.4.** *Let  $\varphi(x)$  be an equality with  $\deg \varphi = n$  and  $0 < n$ . Then*

$$PA^- \vdash \bigvee_{i=0}^n (a_i \neq b_i) \rightarrow \mathcal{J}_n(\varphi(x))$$

*Proof.* Immediate from Proposition 2.1 and Lemma 2.3.  $\square$

Let  $\varphi(x)$  be an inequality. Consider a sequence  $x_0 < x_1 < \dots < x_k$  with  $\varphi(x_0) \wedge \neg \varphi(x_1) \wedge \dots \wedge \overbrace{\neg \dots \neg}^{i \text{ times}} \varphi(x_i) \wedge \dots \wedge \overbrace{\neg \dots \neg}^{k \text{ times}} \varphi(x_k)$  or  $\neg \varphi(x_0) \wedge \neg \neg \varphi(x_1) \wedge \dots \wedge \overbrace{\neg \dots \neg}^{i+1 \text{ times}} \varphi(x_i) \wedge \dots \wedge \overbrace{\neg \dots \neg}^{k+1 \text{ times}} \varphi(x_k)$ , in which affirmation alternates with negation since  $\vdash \neg \neg \psi \leftrightarrow \psi$  for any formula  $\psi$ . We shall show that the number of such alternation is bounded by  $\deg_x \varphi$ . For this purpose we introduce the following notation.

**Definition 2.5.** For terms  $t_0, t_1, \dots, t_k$ , and  $i + j \leq k$ ,

$$\mathcal{S}_{i,j}^n(t_0, t_1, \dots, t_k) = \sum_{n_0+n_1+\dots+n_j=n} t_i^{n_0} \cdot t_{i+1}^{n_1} \cdot \dots \cdot t_{i+j}^{n_j}.$$

For example,  $\mathcal{S}_{0,0}^n(x, y) = x^n$ ,  $\mathcal{S}_{0,1}^n(x, y, z) = x^n + x^{n-1}y + \dots + y^n$ ,  $\mathcal{S}_{1,1}^n(x, y, z) = y^n + y^{n-1}z + \dots + z^n$ , and so on. Especially  $\mathcal{S}_{i,j}^0(t_0, t_1, \dots, t_k) = 1$ .

**Lemma 2.6.** Let  $t_0, t_1, \dots, t_k$  be terms and  $i + j + 1 \leq k$ . Then

$$\begin{aligned} PA^- \vdash t_0 < t_1 < \dots < t_k &\rightarrow \mathcal{S}_{i,j}^n(t_0, t_1, \dots, t_k) < \mathcal{S}_{i+1,j}^n(t_0, t_1, \dots, t_k), \\ PA^- \vdash t_0 < t_1 < \dots < t_k &\rightarrow \mathcal{S}_{i+1,j}^n(t_0, t_1, \dots, t_k) - \mathcal{S}_{i,j}^n(t_0, t_1, \dots, t_k) \\ &= (t_{i+j+1} - t_i) \cdot \mathcal{S}_{i,j+1}^{n-1}(t_0, t_1, \dots, t_k). \end{aligned}$$

$$\left( \begin{array}{l} \text{More precisely,} \\ PA^- \vdash t_0 < t_1 < \dots < t_k \rightarrow \exists d(t_{i+j+1} = t_i + d \wedge \mathcal{S}_{i+1,j}^n(t_0, \dots, t_k) \\ \quad = \mathcal{S}_{i,j}^n(t_0, t_1, \dots, t_k) + d \cdot \mathcal{S}_{i,j+1}^{n-1}(t_0, t_1, \dots, t_k)). \end{array} \right)$$

*Proof.* The first assertion is clear. The second assertion is proved in  $PA^-$  by easy computation. We work in  $PA^-$ . Assume  $t_0 < t_1 < \dots < t_k$ .

$$\begin{aligned} &\mathcal{S}_{i+1,j}^n(t_0, \dots, t_k) - \mathcal{S}_{i,j}^n(t_0, \dots, t_k) \\ &= \sum_{n_0+\dots+n_j=n} t_{i+1}^{n_0} \dots t_{i+j+1}^{n_j} - \sum_{n_0+\dots+n_j=n} t_i^{n_0} \dots t_{i+j}^{n_j} \\ &= \sum_{n_0+\dots+n_j=n} t_{i+1}^{n_0} \dots t_{i+j}^{n_j-1} t_{i+j+1}^{n_j} - \sum_{n_0+\dots+n_j=n} t_{i+1}^{n_0} \dots t_{i+j}^{n_j-1} t_i^{n_j} \\ &= \sum_{n_0+\dots+n_j=n} t_{i+1}^{n_0} \dots t_{i+j}^{n_j-1} (t_{i+j+1}^{n_j} - t_i^{n_j}) \\ &= (t_{i+j+1} - t_i) \sum_{n_0+\dots+n_j=n} t_{i+1}^{n_0} \dots t_{i+j}^{n_j-1} \sum_{m_0+m_1=n_j-1} t_{i+j+1}^{m_0} t_i^{m_1} \\ &= (t_{i+j+1} - t_i) \sum_{n_0+\dots+n_j+m_0+m_1=n-1} t_{i+1}^{n_0} \dots t_{i+j}^{n_j-1} t_{i+j+1}^{m_0} t_i^{m_1} \\ &= (t_{i+j+1} - t_i) \sum_{n_0+\dots+n_{j+1}=n-1} t_i^{n_0} \dots t_{i+j+1}^{n_{j+1}} \\ &= (t_{i+j+1} - t_i) \cdot \mathcal{S}_{i,j+1}^{n-1}(t_0, \dots, t_k). \end{aligned}$$

□

**Proposition 2.7.** *Let  $\varphi(x)$  be an inequality with  $\deg\varphi = n$  and  $0 < n$ . Then*

$$PA^- \vdash \neg\exists x_0, \dots, x_{n+1} (x_0 < x_1 < \dots < x_{n+1} \wedge \underbrace{((\varphi(x_0) \wedge \neg\varphi(x_1) \wedge \dots \wedge \neg\varphi(x_{n+1}))}_{n+1 \text{ times}})) \vee \underbrace{(\neg\varphi(x_0) \wedge \neg\neg\varphi(x_1) \wedge \dots \wedge \neg\varphi(x_{n+1}))}_{n+2 \text{ times}}).$$

*Proof.* We work in  $PA^-$ . Assume that  $x_0 < x_1 < \dots < x_{n+1}$ , and  $\varphi(x_0) \wedge \neg\varphi(x_1) \wedge \dots \wedge \underbrace{\neg\varphi(x_{n+1})}_{n+1 \text{ times}}$ , that is,

$$\Lambda_0 \begin{cases} a_n \mathcal{S}_{0,0}^n(\vec{x}) + a_{n-1} \mathcal{S}_{0,0}^{n-1}(\vec{x}) + \dots + a_0 < b_n \mathcal{S}_{0,0}^n(\vec{x}) + b_{n-1} \mathcal{S}_{0,0}^{n-1}(\vec{x}) + \dots + b_0, \\ a_n \mathcal{S}_{1,0}^n(\vec{x}) + a_{n-1} \mathcal{S}_{1,0}^{n-1}(\vec{x}) + \dots + a_0 \geq b_n \mathcal{S}_{1,0}^n(\vec{x}) + b_{n-1} \mathcal{S}_{1,0}^{n-1}(\vec{x}) + \dots + b_0, \\ a_n \mathcal{S}_{2,0}^n(\vec{x}) + a_{n-1} \mathcal{S}_{2,0}^{n-1}(\vec{x}) + \dots + a_0 < b_n \mathcal{S}_{2,0}^n(\vec{x}) + b_{n-1} \mathcal{S}_{2,0}^{n-1}(\vec{x}) + \dots + b_0, \\ \vdots \\ a_n \mathcal{S}_{n+1,0}^n(\vec{x}) + a_{n-1} \mathcal{S}_{n+1,0}^{n-1}(\vec{x}) + \dots + a_0 \diamond b_n \mathcal{S}_{n+1,0}^n(\vec{x}) + b_{n-1} \mathcal{S}_{n+1,0}^{n-1}(\vec{x}) + \dots + b_0, \end{cases}$$

where ' $\vec{x}$ ' is ' $x_0, \dots, x_{n+1}$ ' and ' $\diamond$ ' is ' $<$ ' if  $n+1$  is even, and is ' $\geq$ ' if  $n+1$  is odd. The system  $\Lambda_0$  consists of  $n+2$  inequalities. By the first and the second inequalities, we have

$$a_n(\mathcal{S}_{1,0}^n(\vec{x}) - \mathcal{S}_{0,0}^n(\vec{x})) + a_{n-1}(\mathcal{S}_{1,0}^{n-1}(\vec{x}) - \mathcal{S}_{0,0}^{n-1}(\vec{x})) + \dots + a_1(\mathcal{S}_{1,0}^1(\vec{x}) - \mathcal{S}_{0,0}^1(\vec{x})) > b_n(\mathcal{S}_{1,0}^n(\vec{x}) - \mathcal{S}_{0,0}^n(\vec{x})) + b_{n-1}(\mathcal{S}_{1,0}^{n-1}(\vec{x}) - \mathcal{S}_{0,0}^{n-1}(\vec{x})) + \dots + b_1(\mathcal{S}_{1,0}^1(\vec{x}) - \mathcal{S}_{0,0}^1(\vec{x})),$$

hence by using Lemma 2.6,

$$a_n \mathcal{S}_{0,1}^{n-1}(\vec{x}) + a_{n-1} \mathcal{S}_{0,1}^{n-2}(\vec{x}) + \dots + a_1 > b_n \mathcal{S}_{0,1}^{n-1}(\vec{x}) + b_{n-1} \mathcal{S}_{0,1}^{n-2}(\vec{x}) + \dots + b_1.$$

In the same way, we have

$$\Lambda_1 \begin{cases} a_n \mathcal{S}_{0,1}^{n-1}(\vec{x}) + a_{n-1} \mathcal{S}_{0,1}^{n-2}(\vec{x}) + \dots + a_1 > b_n \mathcal{S}_{0,1}^{n-1}(\vec{x}) + b_{n-1} \mathcal{S}_{0,1}^{n-2}(\vec{x}) + \dots + b_1, \\ a_n \mathcal{S}_{1,1}^{n-1}(\vec{x}) + a_{n-1} \mathcal{S}_{1,1}^{n-2}(\vec{x}) + \dots + a_1 < b_n \mathcal{S}_{1,1}^{n-1}(\vec{x}) + b_{n-1} \mathcal{S}_{1,1}^{n-2}(\vec{x}) + \dots + b_1, \\ a_n \mathcal{S}_{2,1}^{n-1}(\vec{x}) + a_{n-1} \mathcal{S}_{2,1}^{n-2}(\vec{x}) + \dots + a_1 > b_n \mathcal{S}_{2,1}^{n-1}(\vec{x}) + b_{n-1} \mathcal{S}_{2,1}^{n-2}(\vec{x}) + \dots + b_1, \\ \vdots \\ a_n \mathcal{S}_{n,1}^{n-1}(\vec{x}) + a_{n-1} \mathcal{S}_{n,1}^{n-2}(\vec{x}) + \dots + a_1 \diamond' b_n \mathcal{S}_{n,1}^{n-1}(\vec{x}) + b_{n-1} \mathcal{S}_{n,1}^{n-2}(\vec{x}) + \dots + b_1, \end{cases}$$

where ' $\diamond'$ ' is ' $<$ ' if  $n+1$  is even and is ' $>$ ' if  $n+1$  is odd. The system  $\Lambda_1$  consists of  $n+1$  inequalities. We continue further this reduction  $n-2$  times. Then we get that, in the case that  $n$  is even

$$\Lambda_{n-1} \begin{cases} a_n \mathcal{S}_{0,n-1}^1(\vec{x}) + a_{n-1} > b_n \mathcal{S}_{0,n-1}^1(\vec{x}) + b_{n-1}, \\ a_n \mathcal{S}_{1,n-1}^1(\vec{x}) + a_{n-1} < b_n \mathcal{S}_{1,n-1}^1(\vec{x}) + b_{n-1}, \\ a_n \mathcal{S}_{2,n-1}^1(\vec{x}) + a_{n-1} > b_n \mathcal{S}_{2,n-1}^1(\vec{x}) + b_{n-1}, \end{cases}$$

and in the case that  $n$  is odd,

$$\Lambda'_{n-1} \begin{cases} a_n \mathcal{S}_{0,n-1}^1(\vec{x}) + a_{n-1} < b_n \mathcal{S}_{0,n-1}^1(\vec{x}) + b_{n-1}, \\ a_n \mathcal{S}_{1,n-1}^1(\vec{x}) + a_{n-1} > b_n \mathcal{S}_{1,n-1}^1(\vec{x}) + b_{n-1}, \\ a_n \mathcal{S}_{2,n-1}^1(\vec{x}) + a_{n-1} < b_n \mathcal{S}_{2,n-1}^1(\vec{x}) + b_{n-1}. \end{cases}$$

We proceed the same reduction once again. We have

$$\Lambda_n \begin{cases} a_n \mathcal{S}_{0,n}^0(\vec{x}) < b_n \mathcal{S}_{0,n}^0(\vec{x}), \\ a_n \mathcal{S}_{1,n}^0(\vec{x}) > b_n \mathcal{S}_{1,n}^0(\vec{x}), \end{cases} \quad \text{or} \quad \Lambda'_n \begin{cases} a_n \mathcal{S}_{0,n}^0(\vec{x}) > b_n \mathcal{S}_{0,n}^0(\vec{x}), \\ a_n \mathcal{S}_{1,n}^0(\vec{x}) < b_n \mathcal{S}_{1,n}^0(\vec{x}). \end{cases}$$

In any case, we have  $a_n < b_n \wedge b_n < a_n$ , which is a contradiction.

Since we get a contradiction in the same way when we assume that  $x_0 < x_1 < \dots < x_{n+1}$  and  $\neg\varphi(x_0) \wedge \neg\varphi(x_1) \wedge \dots \wedge \overbrace{\neg \dots \neg}^{n+2 \text{ times}} \varphi(x_{n+1})$ , we have proved the proposition.  $\square$

### §3. $I\text{Open}$ is equivalent to $L\text{Open}$

Let  $\varphi(x)$  be an open formula. Suppose  $\varphi(x_0)$  and  $\neg\varphi(x_1)$  with  $x_0 < x_1$ . Intuitively it is clear that we can find a  $x$  such that  $\varphi(x)$  and  $\neg\varphi(x+1)$  with  $x_0 \leq x < x_1$ . In fact this can be proved in  $I\text{Open}$ .

**Lemma 3.1.** *Let  $\varphi(x)$  be an open formula. Then*

$$I\text{Open} \vdash \forall x_0, x_1 (x_0 < x_1 \wedge \varphi(x_0) \wedge \neg\varphi(x_1) \rightarrow \exists x (x_0 \leq x < x_1 \wedge \varphi(x) \wedge \neg\varphi(x+1))).$$

*Proof.* Assume that  $x_0 < x_1 \wedge \varphi(x_0) \wedge \neg\varphi(x_1)$ . There exists the unique  $d$  such that  $x_1 = x_0 + d$  since  $x_0 < x_1$ . We represent the  $d$  by  $x_1 - x_0$  and  $\psi(z)$  as

$$\varphi(x_0 + z) \wedge 0 \leq z \leq x_1 - x_0.$$

Then  $\psi(0)$  and  $\neg\psi(x_1 - x_0)$ , hence  $\psi(0) \wedge \exists x \neg\psi(x)$ . By using  $I_{\psi(z)}$  we have  $\exists z (\psi(z) \wedge \neg\psi(z+1))$ , consequently  $\exists x (\varphi(x) \wedge \neg\varphi(x+1) \wedge x_0 \leq x < x_1)$ . Finally  $x \neq x_1$  follows from  $\neg\varphi(x_1)$ .  $\square$

Note that the  $\mathcal{L}_A$ -sentence in Lemma 3.1 implies  $I_{\varphi(x)}$  in  $PA^-$ . Let us say that a formula of the form  $x < a$ ,  $a \leq x < b$ , or  $b \leq x$  is an interval. Let  $\varphi(x)$  be an inequality of degree  $n$ . We show in  $I\text{Open}$  that there exist  $x_0, x_1, \dots, x_{n+1}$  such that  $\varphi(x)$  is equivalent to the disjunction of intervals whose endpoints are  $x_0, x_1, \dots, x_{n+1}$ . Note that the number of these intervals is at most  $\lfloor \frac{n}{2} \rfloor + 1$  since it may be the case that  $x_{i+1} = x_i$  for some  $i$ . To be precise, we define inductively the formula  $\mathcal{I}_n(\varphi(x))$  as follows.



**Definition 3.2.** For any formula  $\varphi(x)$ , we define  $\mathcal{I}_n(\varphi(x))$  as follows inductively:

$$\begin{aligned}\mathcal{I}_0(\varphi(x)) &\equiv \forall x \varphi(x) \vee \forall x \neg \varphi(x), \\ \mathcal{I}_{n+1}(\varphi(x)) &\equiv \mathcal{I}_n(\varphi(x)) \vee \theta_{n+1}(\varphi(x)) \vee \theta'_{n+1}(\varphi(x)),\end{aligned}$$

where

$$\begin{aligned}\theta_{2m+1}(\varphi(x)) &\equiv \exists x_0, x_1, \dots, x_{2m} (0 < x_0 < x_1 < \dots < x_{2m} \wedge \\ &\quad (\forall y(\varphi(y) \leftrightarrow y < x_0 \vee (\bigvee_{i=1}^m x_{2i-1} \leq y < x_{2i})))), \\ \theta'_{2m+1}(\varphi(x)) &\equiv \exists x_0, x_1, \dots, x_{2m} (0 < x_0 < x_1 < \dots < x_{2m} \wedge \\ &\quad (\forall y(\varphi(y) \leftrightarrow (\bigvee_{i=0}^{m-1} x_{2i} \leq y < x_{2i+1}) \vee x_{2m} \leq y))), \\ \theta_{2m+2}(\varphi(x)) &\equiv \exists x_0, x_1, \dots, x_{2m+1} (0 < x_0 < x_1 < \dots < x_{2m+1} \wedge \\ &\quad (\forall y(\varphi(y) \leftrightarrow y < x_0 \vee (\bigvee_{i=1}^m x_{2i-1} \leq y < x_{2i}) \vee x_{2m+1} \leq y))), \\ \theta'_{2m+2}(\varphi(x)) &\equiv \exists x_0, x_1, \dots, x_{2m+1} (0 < x_0 < x_1 < \dots < x_{2m+1} \wedge \\ &\quad (\forall y(\varphi(y) \leftrightarrow \bigvee_{i=0}^m x_{2i} \leq y < x_{2i+1}))).\end{aligned}$$

Note that  $\vdash \mathcal{I}_n(\varphi(x)) \rightarrow \mathcal{I}_{n+1}(\varphi(x))$  for any formula  $\varphi(x)$  and any  $n$ , and

$$\begin{aligned}PA^- \vdash 0 < x_0 < x_1 < \dots < x_{2m} \rightarrow \\ &\quad (\neg(y < x_0 \vee (\bigvee_{i=1}^m x_{2i-1} \leq y < x_{2i})) \leftrightarrow (\bigvee_{i=0}^{m-1} x_{2i} \leq y < x_{2i+1}) \vee x_{2m} \leq y), \\ PA^- \vdash 0 < x_0 < x_1 < \dots < x_{2m+1} \rightarrow \\ &\quad (\neg(y < x_0 \vee (\bigvee_{i=1}^m x_{2i-1} \leq y < x_{2i}) \vee x_{2m+1} \leq y) \leftrightarrow \bigvee_{i=0}^m x_{2i} \leq y < x_{2i+1}).\end{aligned}$$

**Lemma 3.3.** Let  $\varphi(x)$  be an open formula. Then

- 1)  $IOpen \vdash \varphi(0) \wedge \neg \mathcal{I}_n(\varphi(x)) \rightarrow \exists x_0, x_1, \dots, x_{n+1} (x_0 < x_1 < \dots < x_{n+1} \wedge \underbrace{\varphi(x_0) \wedge \neg \varphi(x_1) \wedge \dots \wedge \neg \varphi(x_{n+1})}_{n+1 \text{ times}}),$
- 2)  $IOpen \vdash \neg \varphi(0) \wedge \neg \mathcal{I}_n(\varphi(x)) \rightarrow \exists x_0, x_1, \dots, x_{n+1} (x_0 < x_1 < \dots < x_{n+1} \wedge \underbrace{\neg \varphi(x_0) \wedge \neg \neg \varphi(x_1) \wedge \dots \wedge \neg \varphi(x_{n+1})}_{n+2 \text{ times}}).$

*Proof.* 1). By induction on  $n$ . Trivial for  $n = 0$ ; thus assume that the assertion holds for  $n = 2m$  and we work in  $IOpen$ . Suppose  $\varphi(0) \wedge \neg \mathcal{I}_{2m+1}(\varphi(x))$ , that is,  $\varphi(0) \wedge \neg \mathcal{I}_{2m}(\varphi(x)) \wedge \neg \theta_{2m+1}(\varphi(x)) \wedge \neg \theta'_{2m+1}(\varphi(x))$ . By the induction hypothesis,  $\varphi(0)$  and  $\neg \mathcal{I}_{2m}(\varphi(x))$ , there exist  $a_0, a_1, \dots, a_{2m+1}$  such that

$a_0 < a_1 < \cdots < a_{2m+1}$  and  $\varphi(a_0), \neg\varphi(a_1), \dots, \overbrace{\neg \cdots \neg}^{2m+1 \text{ times}} \varphi(a_{2m+1})$ . By Lemma 3.1 there exist  $b_0, b_1, \dots, b_{2m}$  such that  $a_0 \leq b_0 < a_1 \leq b_1 < a_2 \leq \cdots < a_{2m} \leq b_{2m} < a_{2m+1}$  and

$$\begin{array}{ccc} \varphi(b_0) & , & \neg\varphi(b_0 + 1) \\ \neg\varphi(b_1) & , & \neg\neg\varphi(b_1 + 1) \\ & \vdots & \\ \overbrace{\neg \cdots \neg}^{2m \text{ times}} \varphi(b_{2m}) & , & \overbrace{\neg \cdots \neg}^{2m+1 \text{ times}} \varphi(b_{2m} + 1). \end{array}$$

Since  $0 < b_0 + 1 < b_1 + 1 < \cdots < b_{2m} + 1$ , we apply  $b_0 + 1, b_1 + 1, \dots, b_{2m} + 1$  to  $\neg\theta_{2m+1}(\varphi(x))$ . Then we have

$$\begin{aligned} & \exists y(\varphi(y) \wedge ((\bigvee_{i=0}^{m-1} b_{2i} + 1 \leq y < b_{2i+1} + 1) \vee b_{2m} + 1 \leq y)) \quad \text{or} \\ & \exists y(\neg\varphi(y) \wedge (y < b_0 + 1 \vee (\bigvee_{i=1}^m b_{2i-1} + 1 \leq y < b_{2i} + 1))). \end{aligned}$$

Case 1.

Let  $b_{2i} + 1 \leq c < b_{2i+1} + 1$  and  $\varphi(c)$  for some  $i$  with  $0 \leq i < m$ . Since  $\neg\varphi(b_{2i} + 1)$  and  $\neg\varphi(b_{2i+1})$ , we have  $b_{2i} + 1 < c < b_{2i+1}$ . Then we find  $2m + 3$  terms, that is,  $b_0 < \cdots < b_{2i} < b_{2i} + 1 < c < b_{2i+1} < \cdots < b_{2m}$  such that

$$\varphi(b_0), \dots, \varphi(b_{2i}), \neg\varphi(b_{2i} + 1), \varphi(c), \neg\varphi(b_{2i+1}), \dots, \varphi(b_{2m}).$$

Let  $b_{2m} + 1 \leq c$  and  $\varphi(c)$ . Since  $\neg\varphi(b_{2m} + 1)$ , we find  $2m + 3$  terms, that is,  $b_0 < b_1 < \cdots < b_{2m} < b_{2m} + 1 < c$  such that

$$\varphi(b_0), \neg\varphi(b_1), \dots, \varphi(b_{2m}), \neg\varphi(b_{2m} + 1), \varphi(c).$$

Case 2.

Let  $c < b_0 + 1$  and  $\neg\varphi(c)$ . Since  $\varphi(0)$  and  $\varphi(b_0)$ , we have  $0 < c < b_0$ . Then we find  $2m + 3$  terms, that is,  $0 < c < b_0 < b_1 < \cdots < b_{2m}$  such that

$$\varphi(0), \neg\varphi(c), \varphi(b_0), \dots, \varphi(b_{2m}).$$

Let  $b_{2i-1} + 1 \leq c < b_{2i} + 1$  and  $\neg\varphi(c)$  for some  $i$  with  $1 \leq i \leq m$ . Since  $\varphi(b_{2i-1} + 1)$  and  $\varphi(b_{2i})$ , we have  $b_{2i-1} + 1 < c < b_{2i}$ . Then we find  $2m + 3$  terms, that is,  $b_0 < \cdots < b_{2i-1} < b_{2i-1} + 1 < c < b_{2i} < \cdots < b_{2m}$  such that

$$\varphi(b_0), \dots, \neg\varphi(b_{2i-1}), \varphi(b_{2i-1} + 1), \neg\varphi(c), \varphi(b_{2i}), \dots, \varphi(b_{2m}).$$

Thus we have proved 1) for  $n = 2m + 1$ . We can prove similarly that 1) for  $n = 2m + 1$  implies 1) for  $n = 2m + 2$ .

2). It is easy to see that  $PA^- \vdash \mathcal{I}_n(\neg\psi(x)) \leftrightarrow \mathcal{I}_n(\psi(x))$  for any formula  $\psi(x)$  by induction on  $n$ . Then we have the assertion 2) by 1).  $\square$

**Corollary 3.4.** *Let  $\varphi(x)$  be an inequality with  $\deg\varphi = n$  and  $0 < n$ . Then*

$$I\text{Open} \vdash \mathcal{I}_n(\varphi(x)).$$

*Proof.* Immediate from Proposition 2.7 and Lemma 3.3.  $\square$

In order to deal with an arbitrary open formula, we need some technical lemmas. Now recall Corollary 2.4, which says that any equality  $\varphi(x)$  with  $\deg_x\varphi = n$  has at most  $n$  ‘solutions’. We rewrite Corollary 2.4 in terms of  $\mathcal{I}_n(\varphi(x))$ .

**Lemma 3.5.** *Let  $\varphi(x)$  be an equality with  $\deg\varphi = n$  and  $0 < n$ . Then*

$$PA^- \vdash \mathcal{I}_{2n+2}(\varphi(x)).$$

*Proof.* We work in  $PA^-$ . If  $a_i = b_i$  for all  $i$  with  $0 \leq i \leq n$ , we have  $\forall x\varphi(x)$ , then  $\mathcal{I}_0(\varphi(x))$ . By the definition for  $\mathcal{I}$ , we have  $\mathcal{I}_{2n+2}(\varphi(x))$ . Otherwise, since  $y = a \leftrightarrow a \leq y < a + 1$  we have the assertion by Corollary 2.4.  $\square$

Next we show that the set of formulas  $\varphi(x)$  for which  $\mathcal{I}_n(\varphi(x))$  are provable in  $PA^-$  for some  $n$  is closed under conjunction and disjunction.

**Lemma 3.6.** *For any formulas  $\varphi(x)$  and  $\psi(x)$*

$$\begin{aligned} PA^- \vdash \mathcal{I}_n(\varphi(x)) \wedge \mathcal{I}_m(\psi(x)) &\rightarrow \mathcal{I}_{n+m}(\varphi(x) \wedge \psi(x)), \\ PA^- \vdash \mathcal{I}_n(\varphi(x)) \wedge \mathcal{I}_m(\psi(x)) &\rightarrow \mathcal{I}_{n+m}(\varphi(x) \vee \psi(x)). \end{aligned}$$

*Proof.* We first show the following results: For  $0 < n$  and  $0 < m$

$$\begin{aligned} PA^- \vdash \Theta_n(\varphi(x)) \wedge \Theta_m(\psi(x)) &\rightarrow \mathcal{I}_{n+m}(\varphi(x) \wedge \psi(x)), \\ PA^- \vdash \Theta_n(\varphi(x)) \wedge \Theta_m(\psi(x)) &\rightarrow \mathcal{I}_{n+m}(\varphi(x) \vee \psi(x)), \end{aligned}$$

where  $\Theta_k$  is  $\theta_k$  or  $\theta'_k$ . We work in  $PA^-$ . Assume that  $\theta_{2n+1}(\varphi(x))$  and  $\theta'_{2m+2}(\psi(x))$ . There exist  $a_0, a_1, \dots, a_{2n}$ , and  $b_0, b_1, \dots, b_{2m+1}$  such that  $0 < a_0 < a_1 < \dots < a_{2n}$  and  $0 < b_0 < b_1 < \dots < b_{2m+1}$  and

$$\begin{aligned} \forall y(\varphi(y)) &\leftrightarrow y < a_0 \vee \left( \bigvee_{i=1}^n a_{2i-1} \leq y < a_{2i} \right), \\ \forall y(\psi(y)) &\leftrightarrow \bigvee_{i=0}^m b_{2i} \leq y < b_{2i+1}. \end{aligned}$$

Consider all the possibilities of ordering between  $a_0, a_1, \dots, a_{2n}$  and  $b_0, b_1, \dots, b_{2m+1}$ . For example; if  $2n \leq 2m+1$  and  $a_0 < b_0 < b_1 < a_1 < \dots < a_{2i} < b_{2i} < b_{2i+1} < a_{2i+1} < \dots < a_{2n} < b_{2n} < b_{2n+1} < \dots < b_{2m+1}$  then we have

$$\begin{aligned} & \forall x \neg(\varphi(x) \wedge \psi(x)), \\ & \forall y((\varphi(y) \vee \psi(y)) \leftrightarrow (y < a_0 \vee (\bigvee_{i=1}^n a_{2i-1} \leq y < a_{2i}) \vee (\bigvee_{i=0}^m b_{2i} \leq y < b_{2i+1}))), \end{aligned}$$

thus  $\mathcal{I}_0(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_{2n+1+2m+2}(\varphi(x) \vee \psi(x))$ . If  $2m+1 < 2n$  and  $b_0 < a_0 < a_1 < b_1 < \dots < b_{2i} < a_{2i} < a_{2i+1} < b_{2i+1} < \dots < a_{2m+1} < b_{2m+1} < a_{2m+2} < a_{2m+3} < \dots < a_{2n}$  then we have

$$\begin{aligned} & \forall y((\varphi(y) \wedge \psi(y)) \leftrightarrow ((\bigvee_{i=0}^m b_{2i} \leq y < a_{2i}) \vee (\bigvee_{i=0}^m a_{2i+1} \leq y < b_{2i+1}))), \\ & \forall y((\varphi(y) \vee \psi(y)) \leftrightarrow (y < a_{2m+2} \vee (\bigvee_{i=m+2}^n a_{2i-1} \leq y < a_{2i}))), \end{aligned}$$

thus  $\mathcal{I}_{4m+4}(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_{2n-2m-1}(\varphi(x) \vee \psi(x))$ . Similarly, for the other orderings we have  $\mathcal{I}_k(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_l(\varphi(x) \vee \psi(x))$  for some  $k, l \leq 2n+1+2m+2$ . So we have  $\mathcal{I}_{2n+1+2m+2}(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_{2n+1+2m+2}(\varphi(x) \vee \psi(x))$ . Therefore we get the result in this case. Similarly for the other cases on  $\Theta_n$  and  $\Theta_m$ , and hence we can show the first results.

Secondly we show the following results: For  $0 < m$

$$\begin{aligned} PA^- \vdash \mathcal{I}_n(\varphi(x)) \wedge \Theta_m(\psi(x)) &\rightarrow \mathcal{I}_{n+m}(\varphi(x) \wedge \psi(x)), \\ PA^- \vdash \mathcal{I}_n(\varphi(x)) \wedge \Theta_m(\psi(x)) &\rightarrow \mathcal{I}_{n+m}(\varphi(x) \vee \psi(x)), \end{aligned}$$

where  $\Theta_m$  is  $\theta_m$  or  $\theta'_m$ . We prove these results by induction on  $n$ . Let  $n = 0$ , and we work in  $PA^-$ . Recall that  $\mathcal{I}_0(\varphi(x))$  is  $\forall x \varphi(x) \vee \forall x \neg \varphi(x)$ . If  $\forall x \varphi(x)$ , we have  $\forall x(\varphi(x) \wedge \psi(x) \leftrightarrow \psi(x))$  and  $\forall x(\varphi(x) \vee \psi(x))$ . Then  $\Theta_m(\psi(x))$  implies  $\mathcal{I}_m(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_0(\varphi(x) \vee \psi(x))$ . If  $\forall x \neg \varphi(x)$ , we have  $\forall x \neg(\varphi(x) \wedge \psi(x))$  and  $\forall x(\varphi(x) \vee \psi(x) \leftrightarrow \psi(x))$ . Then  $\Theta_m(\psi(x))$  implies  $\mathcal{I}_0(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_m(\varphi(x) \vee \psi(x))$ . In both cases, we have the second results for  $n = 0$ . Assume the results for  $n$  and  $\mathcal{I}_{n+1}(\varphi(x)) \wedge \Theta_m(\psi(x))$ , that is,  $(\mathcal{I}_n(\varphi(x)) \wedge \Theta_m(\psi(x))) \vee (\theta_{n+1}(\varphi(x)) \wedge \Theta_m(\psi(x))) \vee (\theta'_{n+1}(\varphi(x)) \wedge \Theta_m(\psi(x)))$ . If  $\mathcal{I}_n(\varphi(x)) \wedge \Theta_m(\psi(x))$ , we have  $\mathcal{I}_{n+m}(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_{n+m}(\varphi(x) \vee \psi(x))$  by the induction hypothesis. If  $\theta_{n+1}(\varphi(x)) \wedge \Theta_m(\psi(x))$  or  $\theta'_{n+1}(\varphi(x)) \wedge \Theta_m(\psi(x))$ , we have  $\mathcal{I}_{n+1+m}(\varphi(x) \wedge \psi(x))$  and  $\mathcal{I}_{n+1+m}(\varphi(x) \vee \psi(x))$  by the first result. Thus the second results are proved.

Finally the assertion in this lemma is proved by induction on  $m$ , by using the second results.  $\square$

Now let  $\varphi(x)$  be an open formula. Let a disjunctive normal form of  $\varphi(x)$  be  $\bigvee_{j=0}^q \bigwedge_{i=0}^{p_j} \varphi_{ij}(x)$ , where  $\varphi_{ij}(x)$  is atomic or negated atomic. Since  $\neg s = t$  and  $\neg s < t$  are equivalent to  $s < t \vee t < s$  and  $t < s \vee t = s$  in  $PA^-$  respectively, we may suppose, by using the distributive law,  $\varphi(x) \equiv \bigvee_{j=0}^q \bigwedge_{i=0}^{p_j} \varphi_{ij}(x)$  where  $\varphi_{ij}(x)$  is of the form  $s < t$  or  $s = t$ .

**Main Lemma 3.7.** *For any open formula  $\varphi(x)$  there exists an  $n$  such that*

$$IOpen \vdash \mathcal{I}_n(\varphi(x)),$$

that is,

- 1) for any atomic formula  $\varphi(x)$  there exists an  $n$  such that  $IOpen \vdash \mathcal{I}_n(\varphi(x))$ ,
- 2)  $IOpen \vdash \mathcal{I}_n(\varphi(x))$  and  $IOpen \vdash \mathcal{I}_m(\psi(x))$  then,
  - 2.1)  $IOpen \vdash \mathcal{I}_{n+m}(\varphi(x) \wedge \psi(x))$ ,
  - 2.2)  $IOpen \vdash \mathcal{I}_{n+m}(\varphi(x) \vee \psi(x))$ .

*Proof.* 1) is immediately obtained from Corollary 3.4 and Lemma 3.5. 2) is from Lemma 3.6.  $\square$

**Theorem 3.8.**  *$IOpen$  proves  $L_{\varphi(x)}$  for any open formula  $\varphi(x)$ .*

*Proof.* By Main Lemma 3.7, there exists an  $n$  such that  $IOpen \vdash \mathcal{I}_n(\varphi(x))$ . By induction on  $n$ . For  $n = 0$ , we work in  $IOpen$ . Assume that  $\exists x\varphi(x)$ . Since  $\mathcal{I}_0(\varphi(x))$  is  $\forall x\varphi(x) \vee \forall x\neg\varphi(x)$ , we obtain  $\forall x\varphi(x)$ . We have  $\forall y < 0 \neg\varphi(y) \wedge \varphi(0)$ . Hence  $\exists x(\forall y < x \neg\varphi(y) \wedge \varphi(x))$ . Next, assume that the assertion holds for  $n = 2m$ . Recall that  $\mathcal{I}_{2m+1}(\varphi(x)) \equiv \mathcal{I}_{2m}(\varphi(x)) \vee \theta_{2m+1}(\varphi(x)) \vee \theta'_{2m+1}(\varphi(x))$ . If  $\mathcal{I}_{2m}(\varphi(x))$  holds, we have the assertion by the induction hypothesis. In the other case, there exist  $a_0, a_1, \dots, a_{2m}$  such that  $a_0 < a_1 < \dots < a_{2m}$ , and  $\forall y(\varphi(y) \leftrightarrow y < a_0 \vee (\bigvee_{i=0}^{m-1} a_{2i+1} \leq y < a_{2i+2}))$  or  $\forall y(\varphi(y) \leftrightarrow (\bigvee_{i=0}^m a_{2i} \leq y < a_{2i+1}) \vee a_{2m} \leq y)$ . In the first case  $\forall y < 0 \neg\varphi(y) \wedge \varphi(0)$ , and in the second case  $\forall y < a_0 \neg\varphi(y) \wedge \varphi(a_0)$ . In either case, we have  $\exists x(\forall y < x \neg\varphi(y) \wedge \varphi(x))$ .

Assume that the assertion holds for  $n = 2m + 1$ . We can show similarly that the assertion holds for  $n = 2m + 2$ .  $\square$

**Corollary 3.9.**  *$I\text{Open}$  is equivalent to  $L\text{Open}$ .*

*Proof.* It is easy to see that  $PA^- \vdash L_{\neg\varphi(x)} \rightarrow I_{\varphi(x)}$  for any open formula  $\varphi(x)$ , hence  $L\text{Open}$  proves all axioms of  $I\text{Open}$ . Thus the assertion is immediately obtained from Theorem 3.8.  $\square$

We have used open induction only in showing that  $\mathcal{I}_n(\varphi(x))$  for an inequality  $\varphi(x)$  of degree  $n$ . For any  $n$ ,  $\mathcal{I}_n(\varphi(x))$  can not be proved in  $PA^-$ . To see this, consider  $\mathcal{M} = \{f \in Z[t] \mid 0 \leq LC(f)\}$ , where  $Z[t]$  is the polynomial ring over the integers and  $LC(f)$  is the leading coefficient of  $f$ .  $\mathcal{M}$  is made into an  $\mathcal{L}_A$ -structure by defining an order  $<$  making  $t$  ‘infinitely large’. More specifically, if  $f, g \in Z[t]$ , then we define  $g < f \leftrightarrow LC(f - g) > 0$ .  $\mathcal{M}$  is a model of  $PA^-$ , but not of  $I\text{Open}$ ; let  $\varphi(x, y) \equiv x^2 < y$  and consider  $\varphi(x, t) \equiv x^2 < t$ , then  $\varphi(0, t) \wedge \exists x \neg\varphi(x, t)$  but there does not exist an element  $x$  in  $\mathcal{M}$  such that  $\varphi(x, t)$  and  $\neg\varphi(x+1, t)$ . Now assume that  $\mathcal{I}_2(\varphi(x, t))$ . Since  $\forall n \in N \varphi(n, t)$  and  $\neg\varphi(t, t)$ ,  $\varphi(x, t)$  must be equivalent to  $x < a$  or  $x < a \vee b \leq x$  for some  $a, b \in \mathcal{M}$  with  $0 < a < b$  by Corollary 3.4. In either case we have  $\varphi(a-1, t) \wedge \neg\varphi(a, t)$ , which is a contradiction.

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